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## Shell-model matrix elements in a neutron–proton quasi-spin formalism for several shells

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**Abstract.** Previous work in the neutron–proton quasi-spin formalism to express shell-model Hamiltonian matrix elements in terms of a set of reduced matrix elements is extended to several interacting shells.

### 1. Introduction

In a previous paper [1], we used vector coherent-state methods [2] to derive formulae and tables for calculating shell-model matrix elements in a basis defined by the neutron–proton quasi-spin group  $O(5)$ . For simplicity, that paper was restricted to a single shell with angular momentum  $j$ . However, the general derivation is equally valid for a (multi- $j$ ) system of several shells so long as the pair state of  $O(5)$  is defined as

$$A^\dagger = \sum_i \sqrt{\Omega_i/2} (a_i^\dagger \times a_i^\dagger)^{J=0, T=1} \quad (1)$$

where  $\Omega_i = j_i + \frac{1}{2}$ . The irreducible representations of  $O(5)$  are still labelled by  $(\omega, t)$  with  $\omega = \Omega - v/2$ , where  $v$  is the seniority and  $t$  the reduced isospin, but now  $\Omega = \sum_i \Omega_i$ . Physically,  $v$  is the number of unpaired nucleons in the sense of the pairs (1) and  $t$  is the isospin of these unpaired nucleons. (Note that  $v$  is not given by the sum of seniorities in the separate shells which is used by some authors [3] as a loose definition of seniority in a multi- $j$  system.) The purpose of this paper is to generalize to the multi- $j$  system those sections of [1] which were written specifically for a single  $j$ -shell. In realistic calculations, there is almost always mixing of several shells.

The shell-model interaction  $V$  is analysed into components  $V^{(00)}$ ,  $V^{(11)}$ ,  $V^{(20)}$  and  $V^{(22)}$  which transform irreducibly under  $O(5)$  and the coherent state arguments in [1] gave a crucial closed formula, (36) of [1], which, taken together with an appropriate  $K$ -matrix, provides shell-model matrix elements in the seniority basis for each  $O(5)$  component of  $V$ . Since the derivation of this crucial equation relied entirely on the  $O(5)$  structure it remains valid in the multi- $j$  system. The formula is trivial for the invariant component  $V^{(00)}$  and in a single  $j$ -shell it is not needed for  $V^{(11)}$  since this component is then simply expressed in terms of the number operator. This simplification does not occur in a multi- $j$  system and the formula ((36) of [1]) must be used for  $V^{(11)}$  as well as for  $V^{(20)}$  and  $V^{(22)}$ . In particular, the single-particle energy contributes to  $V^{(11)}$ . The evaluation of formula (36) for the case of  $V^{(11)}$  is described in section 2 of the present paper, leading to table 2 which is an extension of table C in [1]. The calculation of reduced matrix elements of the two-body interaction in section 4 of [1] was written specifically for a single  $j$  and so requires significant modification, given here in section 3.

**2. The  $V^{(11)}$  component of the interaction**

The  $V^{(11)}$  component of the two-body interaction is odd under particle-hole conjugation and so reduces to a one-body operator. In a single  $j$ -shell, the only scalar one-body operator is the number operator and so this component reduces to a multiple, given in equation (20) of [1], of the  $O(5)$  group operator

$$H_1 = (n - 2\Omega)/2 \tag{2}$$

and is immediately evaluated. In the multi- $j$  system there are several one-body scalars so their evaluation is non-trivial and equation (36) of [1] must be used. Although equation (36) of [1] refers to  $V^{(2s)}$ , it may be used for  $V^{(11)}$  if the appropriate coefficients  $a$ ,  $b$  and  $d$  are used. The values are  $a_{00} = 1$ ,  $a_{11} = -1$ , and  $d_q T_q = 1$  with  $b$  given in table 1, which corresponds to table 2 of [1]. Equation (36) of [1] then gives the general  $z$ -space matrix elements of  $V^{(11)}$  in terms of the reduced matrix elements  $\langle \omega' t' || V_{\omega-\omega' u}^{(11)} || \omega t \rangle$ . In [1] we evaluated equation (36) for even  $n$  and  $t = 0, 1$  and  $2$  by giving the coefficient of each reduced matrix element for the components  $V^{(20)}$  and  $V^{(22)}$ . This process is completed in table 2 of the present paper where we give the coefficients for the component  $V^{(11)}$ . We use the same notation as in [1] and a full description is given in appendix C of that paper, including the use of the ‘negative angular-momentum symmetry’ for economy in printing.

**3. The reduced matrix elements**

The reduced matrix elements  $\langle \omega' t' || V_{\omega-\omega' u}^{(ab)} || \omega t \rangle$  are defined as the matrix elements of the relevant component of the interaction between states of full seniority, reduced with respect to isospin  $t$ . The two subscripts on  $V_{\omega-\omega' u}^{(ab)}$  define its isospin  $u$  and the value  $\omega - \omega'$  of the  $O(5)$  operator  $H_1$  of equation (2). Since the interaction conserves both particle number and isospin, the strict ‘components’ of the interaction satisfy  $\omega - \omega' = u = 0$  but the matrix elements of some other members of the  $O(5)$  multiplet of operators containing each  $V_{00}^{(ab)}$  are also required and can be constructed using  $O(5)$  Wigner coefficients, as in [1]. The few additional coefficients needed for  $V^{(11)}$  are given here in table 3 which is an extension of table 3 of [1].

**Table 1.** The coefficients  $b(mc_q T_q' u)$  in equation (36) of [1] for the component  $V^{(11)}$ .

$\omega'$	$m$	$c$	$q$	$T_q'$	$u = 0$	$u = 1$
$\omega + 1$	1	1	0	0	—	1
$\omega$	1	1	1	1	$-\sqrt{3}$	$-\sqrt{2}$
	0	0	0	0	1	—

In [1] we referred to these other components as ‘extensions’ of the interaction. However, the discussion in section 4 of that paper was limited to a single shell and must now be generalized. The strict components of the interaction, including the single-particle energies, are given by

$$V_{00}^{(11)} = \frac{1}{2} \sum_{1,3} (N_{13} - 2\Omega_3 \delta_{13}) (f_{13}^0 - 3f_{13}^1 + 2p_{13}) \tag{3}$$

Table 2. Coefficients of reduced matrix elements  $\langle \omega' t' || V_{\omega-\omega'}^{(11)} || \omega t \rangle$  in equation (36) of [1].

$t'$	$t$	$T'_p$	$T_p$	$u$	$\langle (\omega' t') p' T'_p T'    \Gamma(V^{(11)})    (\omega t) p T_p T \rangle$
$\omega' = \omega + 1$					
1	0	$T \pm 1$	$T$	1	$\sqrt{(T+1)(p+T+3)/(2T+1)}$
0	1	$T$	$T \pm 1$	1	$-\sqrt{(T+1)(p-T+1)/3(2T+1)}$
1	1	$T \pm 1$	$T$	1	$-\sqrt{T(p+T+3)/2(2T+1)}$
		$T$	$T \pm 1$	1	$-\sqrt{T(p-T+1)/2(2T+1)}$
2	1	$T \pm 2$	$T \pm 1$	1	$\sqrt{(T+2)(p+T+4)/(2T+3)}$
		$T$	$T \pm 1$	1	$-\sqrt{T(2T-1)(p-T+1)/6(2T+1)(2T+3)}$
		$T \pm 1$	$T$	1	$\sqrt{(p+T+3)(T+2)/2(2T+1)}$
1	2	$T \pm 1$	$T \pm 2$	1	$-\sqrt{3(T+2)(p-T)/5(2T+3)}$
		$T \pm 1$	$T$	1	$\sqrt{T(2T-1)(p+T+3)/10(2T+1)(2T+3)}$
		$T$	$T \pm 1$	1	$-\sqrt{3(T+2)(p-T+1)/10(2T+1)}$
2	2	$T \pm 2$	$T \pm 1$	1	$-\sqrt{T(p+T+4)/3(2T+3)}$
		$T$	$T \pm 1$	1	$-\sqrt{(T+2)(2T-1)(p-T+1)/2(2T+1)(2T+3)}$
		$T \pm 1$	$T \pm 2$	1	$-\sqrt{T(p-T)/3(2T+3)}$
		$T \pm 1$	$T$	1	$-\sqrt{(T+2)(2T-1)(p+T+3)/2(2T+1)(2T+3)}$
$(\omega' = \omega)$					
0	0	$T$	$T$	0	$(\omega - p)/\omega$
0	1	$T$	$T$	1	$\sqrt{T(T+1)/3}/(\omega + 1)$
1	1	$T \pm 1$	$T \pm 1$	0	$\sqrt{2(2T(T+1)(3+2\omega) - (p-\omega)[1+(2T+1)(1+2\omega^2+4\omega)])}/D$
				1	$[-2T(T+1)\omega(3+2\omega) + \omega(p-\omega) + (2T+1)(p-\omega)(4+3\omega)]/D$
					$D = 2\sqrt{2}(\omega+2)(\omega+1)(\omega-1)(2T+1)$
		$T+1$	$T-1$	0	$\sqrt{T(T+1)(p+T+2)(p-T+1)}/(\omega+2)(\omega+1)(\omega-1)(2T+1)$
				1	$-\omega\sqrt{T(T+1)(p+T+2)(p-T+1)}/\sqrt{2}(\omega+2)(\omega+1)(\omega-1)(2T+1)$
		$T$	$T$	0	$-\omega(p-\omega)/(\omega+1)(\omega-1)$
				1	$(p-\omega)/\sqrt{2}(\omega+1)(\omega-1)$
1	2	$T \pm 1$	$T \pm 1$	1	$\sqrt{3T(T+2)[T(2\omega+5) + \omega - p + 1]}/\sqrt{10}(\omega+3)(\omega+1)(2T+1)$
		$T \pm 1$	$T \mp 1$	1	$\sqrt{3T(T-1)(p+T+2)(p-T+1)}/\sqrt{10}(\omega+3)(\omega+1)(2T+1)$
		$T$	$T \pm 2$	1	$-\sqrt{3T(T+2)(p+T+3)(p-T)/5(2T+1)(2T+3)}/(\omega+3)(\omega+1)$
		$T$	$T$	1	$[-3(p-\omega) + 2(2\omega+5)T(T+1) - 6(\omega+2)]/[(\omega+3)(\omega+1) \times \sqrt{10(2T-1)(2T+3)}]$
2	2	$T \pm 2$	$T \pm 2$	0	$\{2T(T+2)(3+2\omega) - (p-\omega)[(3+4\omega+2\omega^2) + \omega(\omega+2)(2T+1)]\}/[(\omega+3) \times (\omega+1)(\omega-2)(2T+3)]$
				1	$\{-2T(T+2)\omega(3+2\omega) + (p-\omega)[(12+13\omega) + (5\omega+6)(2T+1)]\}/[\sqrt{6}(\omega+3) \times (\omega+1)(\omega-2)(2T+3)]$
		$T \pm 2$	$T$	0	$\sqrt{6T(T+2)(2T-1)(p+T+3)(p-T)/(2T+1)}/[(\omega+3)(\omega+1) \times (\omega-2)(2T+3)]$
				1	$-\omega\sqrt{T(T+2)(2T-1)(p+T+3)(p-T)/(2T+1)}/[(\omega+3)(\omega+1) \times (\omega-2)(2T+3)]$
		$T$	$T$	0	$\{-6(3+2\omega) + (p-\omega)[3(\omega^2+2\omega-6) - 4(\omega^2+2\omega-2)T(T+1)]\}/[(\omega+3) \times (\omega+1)(\omega-2)(2T+3)(2T-1)]$
				1	$\sqrt{6}\{2\omega(3+2\omega) + (p-\omega)[(\omega-6) + 4(\omega+2)T(T+1)]\}/[2(\omega+3)(\omega+1) \times (\omega-2)(2T+3)(2T-1)]$
		$T \pm 1$	$T \pm 1$	0	$\{2(T+2)(T-1)(3+2\omega) - (p-\omega)[9 + (2\omega^2+4\omega-3)(2T+1)]\}/[2(\omega+3) \times (\omega+1)(\omega-2)(2T+1)]$
				1	$\{-2(T+2)(T-1)\omega(3+2\omega) + (p-\omega)[9\omega + (7\omega+12)(2T+1)]\}/[2\sqrt{6}(\omega+3) \times (\omega+1)(\omega-2)(2T+1)]$
		$T+1$	$T-1$	0	$3\sqrt{(T+2)(T-1)(p+T+2)(p-T+1)}/(\omega+3)(\omega+1)(\omega-2)(2T+1)$
				1	$-\omega\sqrt{6(T+2)(T-1)(p+T+2)(p-T+1)}/2(\omega+3)(\omega+1)(\omega-2)(2T+1)$

Table 3. Wigner coefficients for  $V^{(11)}$ .

$h$	(10)×(10)					(11)×(11)						
	0		-1			0			-1			
$h_1 t_1$	-10	01	10	-10	01	-11	01	11	-11	-11	00	01
$h_2 t_2$	10	01	-10	01	-10	11	01	-11	00	01	-11	-11
(11) $u = 0$	$\sqrt{\frac{1}{2}}$		$-\sqrt{\frac{1}{2}}$			$\sqrt{\frac{1}{2}}$		$-\sqrt{\frac{1}{2}}$				
$u = 1$		-1		$\sqrt{\frac{1}{2}}$	$-\sqrt{\frac{1}{2}}$	$\sqrt{\frac{1}{3}}$	$\sqrt{\frac{1}{3}}$	$\sqrt{\frac{1}{3}}$	$\sqrt{\frac{1}{6}}$	$\sqrt{\frac{1}{3}}$	$-\sqrt{\frac{1}{6}}$	$\sqrt{\frac{1}{3}}$

$$V_{00}^{(20)} = \frac{1}{5} \sum_{1,3} (N_{13} - \Omega_3 \delta_{13}) (f_{13}^1 - 3f_{13}^0 - e_{13}) + \bar{V}^{(20)} \quad (4)$$

$$V_{00}^{(22)} = \frac{1}{2} \sum_{1,3} (N_{13} - \Omega_3 \delta_{13}) (2f_{13}^1 + e_{13}) + \bar{V}^{(22)} \quad (5)$$

where  $\bar{V}$  denotes two-body interactions with matrix elements

$$\langle 12J, T = 0 | \bar{V}_{00}^{(20)} | 34J, T = 0 \rangle = \frac{3}{5} [E_{J_0}(1234) + F_{J_0}^0(1234) + F_{J_0}^1(1234)] \quad (6)$$

$$\langle 12J, T = 1 | \bar{V}_{00}^{(20)} | 34J, T = 1 \rangle = \frac{1}{15} [E_{J_1}(1234) + 3F_{J_1}^0(1234) - F_{J_1}^1(1234)] \quad (7)$$

$$\langle 12J, T = 1 | \bar{V}_{00}^{(22)} | 34J, T = 1 \rangle = \frac{1}{3} [E_{J_1}(1234) + 2F_{J_1}^1(1234)] \quad (8)$$

and

$$e_{13} = \Delta_{13} \sum_2 \sqrt{\Omega_2 / \Omega_1} E_{01}(1322) \quad (9)$$

$$f_{13}^T = \Delta_{13} \sum_2 \sqrt{\Omega_2 / \Omega_1} F_{01}^T(1322) \quad (10)$$

$$N_{13} = 2\sqrt{\Omega_1} (\alpha_1^\dagger \times \alpha_3)^{00} \quad (11)$$

with  $p_{13}$  defining the single-particle energy term in the form

$$h = \sum_{1,3} p_{13} N_{13}. \quad (12)$$

In equation (11), the superscripts after the brackets denote the vector-coupling resultants in angular momentum and isospin, respectively. For brevity we use 1, 2, 3 and 4 for the single-particle state labels and write  $\Delta_{13} = [(1 + \delta_{13})/2]^{1/2}$  with  $E_{JT}(1234) = \langle 12JT | V | 34JT \rangle$ , where  $|34JT\rangle$  is a two-particle antisymmetrized state and

$$F_{JT}^{T'}(1234) = \frac{(-1)^{j_2 + j_3 + T'}}{2\Delta_{12}\Delta_{34}} \sum_{J'} (-1)^{J'} (2J' + 1) \left[ \Delta_{23}\Delta_{14} \begin{Bmatrix} j_2 & j_3 & J' \\ j_4 & j_1 & J \end{Bmatrix} E_{JT'}(2314) \right. \\ \left. + (-1)^{J+T+1} \Delta_{13}\Delta_{24} \begin{Bmatrix} j_1 & j_3 & J' \\ j_4 & j_2 & J \end{Bmatrix} E_{JT'}(1324) \right]. \quad (13)$$

Note that, whereas the components  $(a^\dagger)_{mm_i}$  of the tensor operator  $a^\dagger$  are precisely the creation operators  $a^\dagger_{mm_i}$ , the components of  $a$  are given in terms of the destruction operators by  $(a)_{mm_i} = (-1)^{j+1/2-m-m_i} a_{-m-m_i}$ . In most calculations the expressions (9)–(12) will contain a factor  $\delta_{13}$  because  $j_1 = j_3$  and it is rare to involve states with the same  $j$  but different  $nl$ .

The remaining component  $V_{00}^{(00)}$  is obtained by subtraction from the original interaction  $V$

$$V_{00}^{(00)} = V - V_{00}^{(11)} - V_{00}^{(20)} - V_{00}^{(22)}. \quad (14)$$

The extensions of  $V$  which conserve the particle number are given by two-body interactions with reduced matrix elements

$$\langle 12J, T = 1 || V_{02}^{(20)} || 34J, T = 1 \rangle = \frac{\sqrt{2}}{3} [E_{J1}(1234) + 3F_{J1}^0(1234) - F_{J1}^1(1234)] \quad (15)$$

$$\langle 12J, T = 1 || V_{02}^{(22)} || 34J, T = 1 \rangle = \frac{\sqrt{5}}{3} [E_{J1}(1234) + 2F_{J1}^1(1234)] \quad (16)$$

$$\langle 12J, T = 1 || V_{01}^{(22)} || 34J, T = 1 \rangle = -\frac{1}{\sqrt{3}} [E_{J1}(1234) + 2F_{J1}^1(1234)] \quad (17)$$

together with the one-body terms

$$V_{01}^{(11)}(\text{one-body}) = -\sum_{1,3} T_{13}(f_{13}^0 - 3f_{13}^1 + 2p_{13}) \quad (18)$$

$$V_{01}^{(22)}(\text{one-body}) = -\sqrt{\frac{2}{3}} \sum_{1,3} T_{13}(2f_{13}^1 + e_{13}) \quad (19)$$

where

$$T_{13} = \sqrt{\Omega_1}(a_1^\dagger \times a_3)^{01}. \quad (20)$$

Reduced matrix elements which are diagonal in the seniority  $\omega = \omega'$  may be calculated directly from these expressions in the states of full seniority  $n = v$ . This is simple for small  $v \leq 2$  but for greater  $v$  one must first define the basis and then use fractional parentage coefficients, as in [1], or some other numerical technique.

Since the implementation of equation (36) of [1] requires reduced matrix elements only between states of full seniority, the calculation of reduced matrix elements between different seniorities requires extensions of  $V$  which change the particle number. Using the  $O(5)$  commutation relations, we find them to be

$$V_{-11}^{(11)} = -\frac{1}{2} \sum_{1,3} \hat{j}_1(f_{13}^0 - 3f_{13}^1 + 2p_{13})(a_1 \times a_3)^{01} \quad (21)$$

$$V_{-11}^{(20)} = \frac{1}{2\sqrt{30}} \sum_{1,2,3,4,J} \Delta_{12}\Delta_{34}\hat{J} \left[ 3E_{J0}(3412)[(a_3^\dagger \times a_4)^{J1} \times (a_1 \times a_2)^{J0}]^{01} \right. \\ \left. - E_{J1}(3412)\{\sqrt{2}[(a_3^\dagger \times a_4)^{J1} \times (a_1 \times a_2)^{J1}]^{01} - [(a_3^\dagger \times a_4)^{J0} \times (a_1 \times a_2)^{J1}]^{01} \} \right]$$

$$+ \frac{1}{2\sqrt{15}} \sum_{1,3} \hat{j}_1 (f_{13}^1 - 3f_{13}^0 - e_{13})(a_1 \times a_3)^{01} \quad (22)$$

$$V_{-11}^{(22)} = \frac{1}{2\sqrt{6}} \sum_{1,2,3,4,J} \Delta_{12} \Delta_{34} \hat{J} E_{J1}(3412) \{ [(a_3^\dagger \times a_4)^{J1} \times (a_1 \times a_2)^{J1}]^{01} \\ + \sqrt{2} [(a_3^\dagger \times a_4)^{J0} \times (a_1 \times a_2)^{J1}]^{01} \} - \frac{1}{\sqrt{6}} \sum_{1,3} \hat{j}_1 (2f_{13}^1 + e_{13})(a_1 \times a_3)^{01} \quad (23)$$

$$V_{-12}^{(22)} = -\frac{1}{2\sqrt{2}} \sum_{1,2,3,4,J} \Delta_{12} \Delta_{34} \hat{J} E_{J1}(3412) [(a_3^\dagger \times a_4)^{J1} \times (a_1 \times a_2)^{J1}]^{02} \quad (24)$$

$$V_{-20}^{(20)} = \frac{1}{4\sqrt{10}} \sum_{1,2,3,4,J} \Delta_{12} \Delta_{34} \hat{J} \{ \sqrt{3} E_{J0}(3412) [(a_3 \times a_4)^{J0} \times (a_1 \times a_2)^{J0}]^{00} \\ - E_{J1}(3412) [(a_3 \times a_4)^{J1} \times (a_1 \times a_2)^{J1}]^{00} \} \quad (25)$$

$$V_{-22}^{(22)} = -\frac{1}{4\sqrt{2}} \sum_{1,2,3,4,J} \Delta_{12} \Delta_{34} \hat{J} E_{J1}(3412) [(a_3 \times a_4)^{J1} \times (a_1 \times a_2)^{J1}]^{02} \quad (26)$$

where  $\hat{J} = (2J + 1)^{1/2}$ . In all cases, the sums run independently over the single-particle labels and  $J$ . To calculate reduced matrix elements for the operators (21) to (24), one must use full seniority states with  $n = v$  on the right and  $n' = v' = n - 2$  on the left while for (25) and (26)  $n' = v' = n - 4$  on the left.

There is an alternative method for calculating reduced matrix elements which is less direct and makes use only of the strict components  $V_{00}^{(ab)}$  of the interaction. The method would involve making a shell-model calculation for states of less than full seniority, that is for states with  $n > v$ . These results could be equated to the results from equation (36) of [1] and then solved to find the reduced matrix elements. Although this procedure may be advantageous in some simple cases it is not generally preferable.

#### 4. Discussion

The formulae and tables in this paper provide a generalization of a previous paper [1] away from a single shell to the more realistic situation of several interacting shells. It enables the shell-model energy matrix to be calculated for arbitrary particle number in a neutron-proton quasi-spin basis in terms of a set of reduced matrix elements. Although it may be possible to develop a technique which treats the reduced matrix elements as parameters, we give formulae by which they can be calculated from a given two-body Hamiltonian and single-particle energies. The formulae for a single shell in the earlier paper [1] have been used in [4] to derive the dependence of the interacting-boson Hamiltonian on number and isospin in the isospin-invariant version IBM-3, see [5] and [6]. The more general formulation of the present paper can now be used to extend that work [4] to the more realistic case of several valence shells.

*Errata in reference [1].*

- (i) The symbol  $g_{m_i m_i}$  was omitted after the summation sign in equation (26).
- (ii) The two symbols (11) in the sum over odd  $J$  in equation (42) should read (10).
- (iii) The entry  $\sqrt{1/2}$  in the seventh row and thirteenth column of table 3 should be deleted.

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